(nría\_

#### Network Size Estimation for LoRa-Based Direct-to-Satellite IOT

#### Diego Maldonado

SANDRA CESPEDES JUAN A. FRAIRE PABLO ILABACA HERVE RIVANO



IEEE Cognitive Communications for Aerospace Applications Workshop

June 21th 2023



[1] N. Sornin (Semtech), Extending LoRaWAN Reach (<u>https://youtu.be/pHq7 rgDyFA</u>); Thomas Telkamp (Lacuna) Open satellite LoRaWAN at scale (<u>https://youtu.be/vWkuqVJL1Sg</u>)
[2] L. Ouvry, et al, "An Ultra-Low-Power 4.7mA-Rx 22.4mA-Tx Transceiver Circuit in 65-nm CMOS for M2M Satellite Coms," in IEEE Transactions on Circuits and Systems, May 2018

#### Architecture

Space-Terrestrial Integrated IoT



# Network size estimation in DtS-IoT

In [3] the authors propose OCI, a network size estimator based on a naive estimation of the number of nodes and a <u>polynomial fit</u>.

The scenario corresponds to a DtS-IoT with Slotted ALOHA protocol.

Model assumptions:

- Stable cluster for each frame
- Time synchronization
- Earth-repeat orbits
- Simple collision model with no capture effect
- All nodes in the cluster will transmit on each frame



#### [3] Network size estimation with naive approach and OCI

[3] Ilabaca, P., Montejo-Sánchez, S., Fraire, J. A., Souza, R. D., & Céspedes, S. (2022). Network Size Estimation for Direct-to-Satellite IoT. IEEE Internet of Things Journal.

# LoRa-based Slotted ALOHA scheme

Given the theoretical throughput limit for ALOHA, a slotted approach would be preferred to scale the network.

In [4] the authors propose LoRaSync, a synchronization scheme for LoRa networks

Based on LoRaWAN Class B, it also accounts for clock drift errors to achieve synchronization



#### [4] LoRaSync beacon period and slot structure

[4] Chasserat, L., Accettura, N., & Berthou, P. (2022). LoRaSync: energy efficient synchronization for scalable LoRaWAN.

#### L-OCI estimator

OCI network size estimator extended to LoRa-based networks with time-slotted structure

It maintains the same phases as OCI:

- 1. Data Collection phase
- 2. Naive Estimation phase
- 3. Polynomial Fitting phase
- 4. Operation phase

Phases 1 to 3 are carried on "offline" (on ground), while phase 4 is executed on board, provided the polynomial obtained from phase 3

#### FLoRaSat Network A simulation tool for DtS-IoT networks LoRaMedium gwRouter groundStation[nrOfGS] 🗖 OMNeT++/Qtenv (release) - General #0 - omnetpp.ini - /home/juanfraire/git/florasat/s... 💶 🗖 🗙 Eile Simulate Inspect View Help D H D H H H H 0 K 🚳 next: #1 0s 000ms 000us 000ns 000ps Next: bind (inet::Request, id=533) In: FLoRaSatNetwork.loRaGW[0].udp (Udp, id=136) At: 0s (now+0s) internetCloud internal internal **ISLMedium** 28 FLoRaSatNetwork (FLoRa 면망 🛏 🐜 🕕 💿 🔍 🍳 😂 simulation.scheduled-ev loRaGW[nrOfGW] FLoRa nsRouter IoRaGW[3] IoRaGW[7] IoRaGW[11] IoRaGW[15] visualizer loRaNodes[2] IoRaNodes[nrOfNodes] networkServer IoRaGW[2] IoRaGW[6] IoRaGW[10] IoRaGW[14] IcRaNodes[1] loRaNodes[0] IoRaGW[1] IoRaGW[5] IoRaGW[9] IoRaGW[13] 28 FLoRaSatNetwork (FLc\* 💠 numberOfNodes (c 3 numberOfGateway networkSizeX (cPa) interfaceTable SimpleLoRaApp networkSizeY (cPa) mobility networkSizeZ (cPa) loRaGW[4] loRaGW[8] loRaGW[12] NoradModule interfaceTable 💠 del (cPar) Os 🔹 dis (cPar) 40000m Zoom:0.06x Page loRaNodes[0] (LoR + 28 loRaNodes[1] (LoR ▶ ₽8 loRaNodes[2] (LoR ► 10RaGW[0] (LoRaG) ▶ 10RaGW[1] (LoRaG ule FLoRaSatNetwork.gwRouter. Setting submodule position, submodule = FLoRaSatNetwork.gwRouter.in ▶ 🛂 loRaGW[2] (LoRaG ializing module FLoRaSatNetwork.nsRouter, stage 13 ▶ 10RaGW[3] (LoRaG Setting submodule position, submodule = FLoRaSatNetwork.nsRouter.in Parallel (LoRaG) [4] (LoRaG) General #0: FLoRaSatNetwork Msg stats: 57 scheduled / 583 existing / 583 created mobility LoRaNic LoRaGWNic packetHandler **ISLNic** OMNeT++ 6 Satellite End Device

### L-OCI estimator in FLoRASat

How is a slot defined as IDLE, COLLISION or SUCCESSFUL in FLoRaSat?

successful == 0	successful == 1	
<pre>if attempted == 0 then IDLE (case 0); if detectable == 0 then IDLE (case 1); if detectable =&gt; 1 then COLLISION (case 4);</pre>	if detectable == 1 then <b>SUCCESSFUL</b> (case 2); if detectable => 2 then <b>COLLISION</b> (case 3);	



Slot Status; SF10; BW125; BCN256; numNodes=500; nSlots=488; Receptions = 154; IDLE= 250; COL=140; SUC=98; naive=378

#### Simulation Scenario

- The satellite has a constant altitude of 600 [km] and a constant speed of 7.56 [km/s] during the beacon period
- Nodes are deployed randomly and uniformly over a circular region of radius Ra centered on the satellite's projection onto the Earth at the beginning of the beacon period
- Ra is selected such as it guarantees all nodes are within the communication range and hence they will all decode the beacon
- All nodes and the gateway use the same modulation parameters for LoRa



### Global constants

- Node antenna gain = 5 [dBi]
- Satellite antenna gain = 0 [dBi]
- Node TP = 14 [dBm]
- CF = 863 [MHz]
- CR = 4
- PL = 20 [bytes]
- Beacon reserved = 2.12 [s]
- Beacon guard = 3 [s]
- Max clock drift = 0.01 [s]

Variables:

- sensitivity(SF, BW)
- range(sensitivity)
- airtime(SF, BW)

SF / BW	125 kHz	250 kHz	500 kHz
7	sensitivity = -124 dBm	sensitivity = -122 dBm	sensitivity = -116 dBm
	max range = 390 km	max range = 310 km	max range = 155 km
	airtime = 78 ms	airtime = 39 ms	airtime = 19 ms
8	sensitivity = -127 dBm	sensitivity = -125 dBm	sensitivity = -119 dBm
	max range = 552 km	max range = 438 km	max range = 220 km
	airtime = 140 ms	airtime = 70 ms	airtime = 35 ms
9	sensitivity = -130 dBm	sensitivity = -128 dBm	sensitivity = -122 dBm
	max range = 779 km	max range = 619 km	max range = 310 km
	airtime = 247 ms	airtime = 123 ms	airtime = 62 ms
10	sensitivity = -133 dBm	sensitivity = -130 dBm	sensitivity = -125 dBm
	max range = 1100 km	max range = 779 km	max range = 438 km
	airtime = 494 ms	airtime = 247 ms	airtime = 123 ms
11	sensitivity = -135 dBm	sensitivity = -132 dBm	sensitivity = -128 dBm
	max range = 1386 km	max range = 981 km	max range = 619 km
	airtime = 856 ms	airtime = 428 ms	airtime = 214 ms
12	sensitivity = -137 dBm	sensitivity = -135 dBm	sensitivity = -129 dBm
	max range = 1744 km	max range = 1386 km	max range = 694 km
	airtime = 1712 ms	airtime = 856 ms	airtime = 428 ms

Comparison of SF/BW configurations

### Sensitivity to LoRa parameters

The control variables of our study are the spreading factor (SF), the bandwidth (BW) of LoRa in kHz, and the beacon period (BCN) in seconds.

We study the reusability of an L-OCI estimator for test scenarios with a different set of parameters

For all training scenarios the nodes' positions and the selected slot for transmission remains the same

The previous changes for the test scenario

L-OCI training phases:

- node number: 1 to 501, step 10
- beacon slots = 167

Training scenarios:

- 1. SF9 BW125 BCN50
- 2. SF10 BW125 BCN91
- 3. SF11 BW125 BCN152
- 4. SF11 BW250 BCN80
- 5. SF12 BW125 BCN295

# Sensitivity to LoRa parameters

Transmissions outcome normalized by the number of nodes





# Sensitivity to LoRa parameters

L-OCI test phase:

- node number: 5 to 505, step 10
- SF 10
- BW 125 kHz
- Beacon period = 91 s
- range = 1100 km
- airtime = 494 ms
- beacon slots = 167

#### RSME test phase error:

- SF9 BW125 = 29.693
- SF10 BW125 = 5.556
- SF11 BW125 = 5.586
- SF11 BW250 = 5.747
- SF12 BW125 = 17.909



#### Impact on Throughput

the previous L-OCI network size estimations obtained are fed to the Slotted ALOHA Game protocol [5]



[5] B. Zhao, G. Ren, and H. Zhang, "Slotted aloha game for medium access control in satellite networks," in 2019 IEEE/CIC Int. Conference on Communications in China (ICCC). IEEE, 2019, pp. 518-522.

#### Error and Energy Trade-off

Multi-objective Optimization Problem

- F1 wasted energy [nW / node]: energy expensed in low sensitivity and collided transmissions during OCI estimation phase
- F2 RSME [node]: estimation error during OCI test phase
- Algorithm: MOEA/D (multiobjective evolutionary algorithm based on decomposition) [6]



[6] Q. Zhang and H. Li, "Moea/d: A multiobjective evolutionary algorithm based on decomposition," IEEE Transactions on evolutionary computation, vol. 11, no. 6, pp. 712–731, 2007.

#### Discussion

- The OCI estimator maintains its declared performance when implemented on top of LoRa (L-OCI)
- The L-OCI estimator depends heavily on the network parameters (LoRa, satellite orbit and node deployment region parameters)
- Because of the previous, it is not guaranteed a single L-OCI estimator can achieve low error estimation on a test phase operating under a different set of parameters
- L-OCI cannot estimate a network size beyond the training set
- L-OCI breaks down when including repeated naive estimations in its training set
- L-OCI has a sharp compromise between the wasted power during estimation phase and its error during test phase
- We proved it can estimate up to 5000 nodes, though this number could be higher

# Merci!

FLoRaSat: <u>https://gitlab.inria.fr/jfraire/florasat/</u>

Ínría