

# A Novel Cognitive Anti-jamming Stochastic Game

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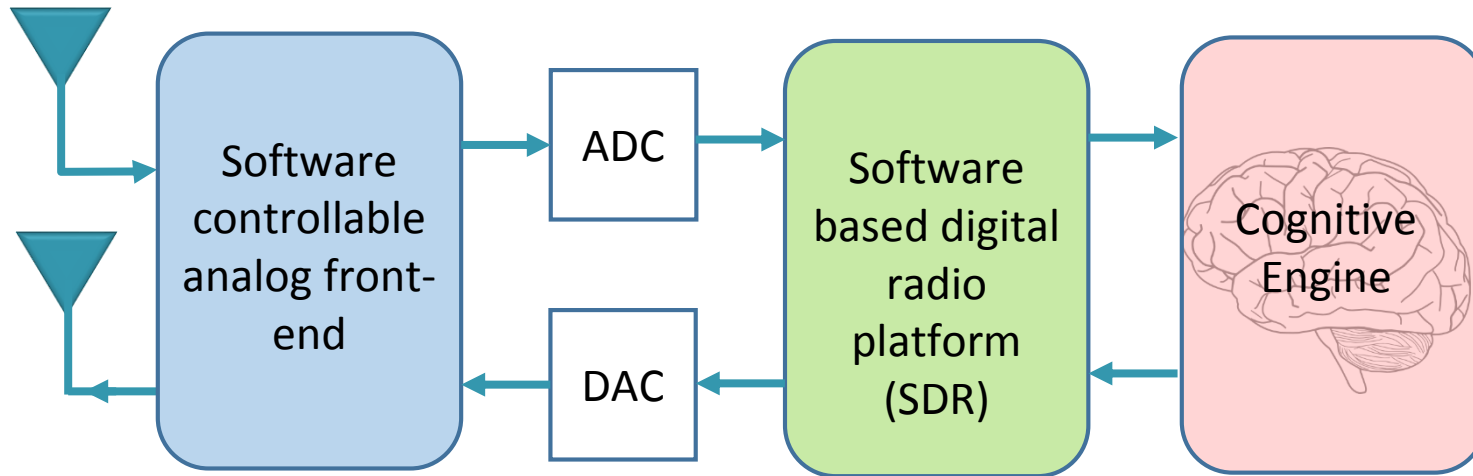
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# Outline

- 1 Introduction
- 2 Problem formulation
- 3 System model
- 4 Q-learning-aided cognitive anti-jamming algorithm
- 5 Proposed anti-jamming stochastic game
- 6 Simulation results

# Introduction

## Cognitive radio as an evolution of software-defined radio (SDR)

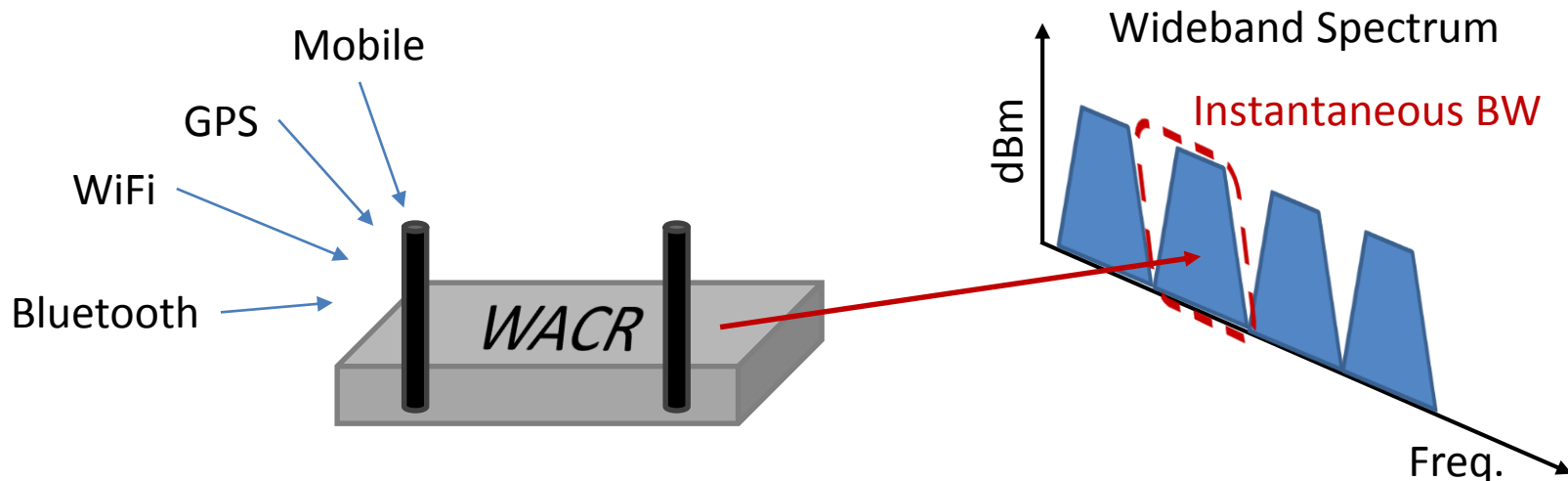


- A **cognitive radio** is a multiband, multimode, wideband software-defined radio (SDR) with autonomous decision-making and learning abilities that can optimally reconfigure its operation mode in response to its surrounding RF environment and user needs.

# Introduction

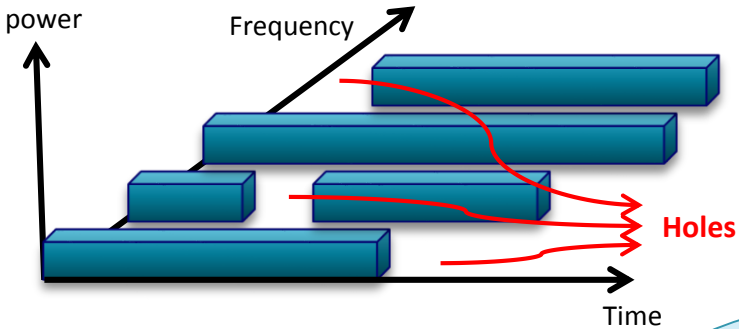
## Wideband Autonomous Cognitive Radios (WACR)

- Senses a wide frequency range.
- Comprehend its operating RF environment.
- Autonomous operation.
- Learn communication protocols and policies.

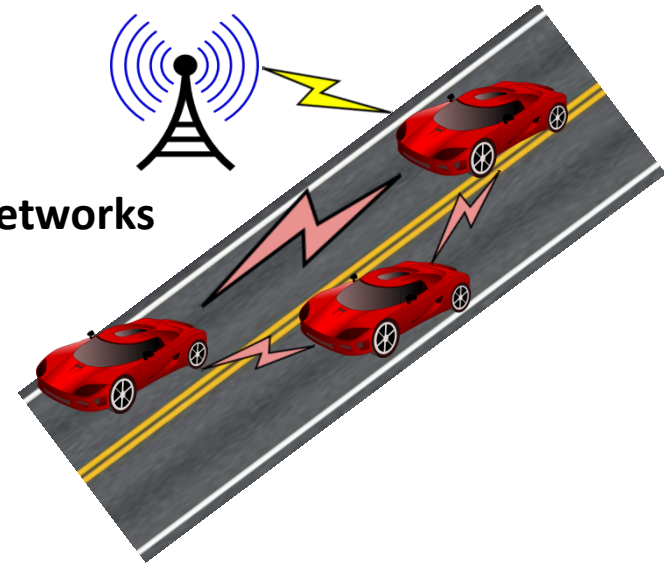


# Introduction

## Dynamic spectrum sharing (DSS)

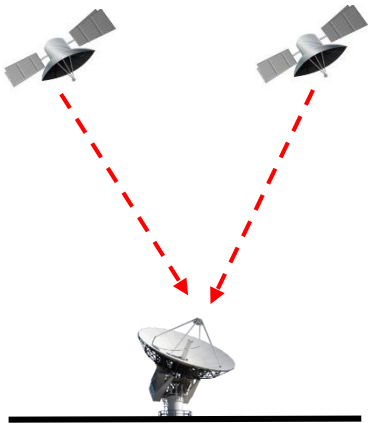


## Vehicular networks



**Cognitive Radio Applications**

## Space



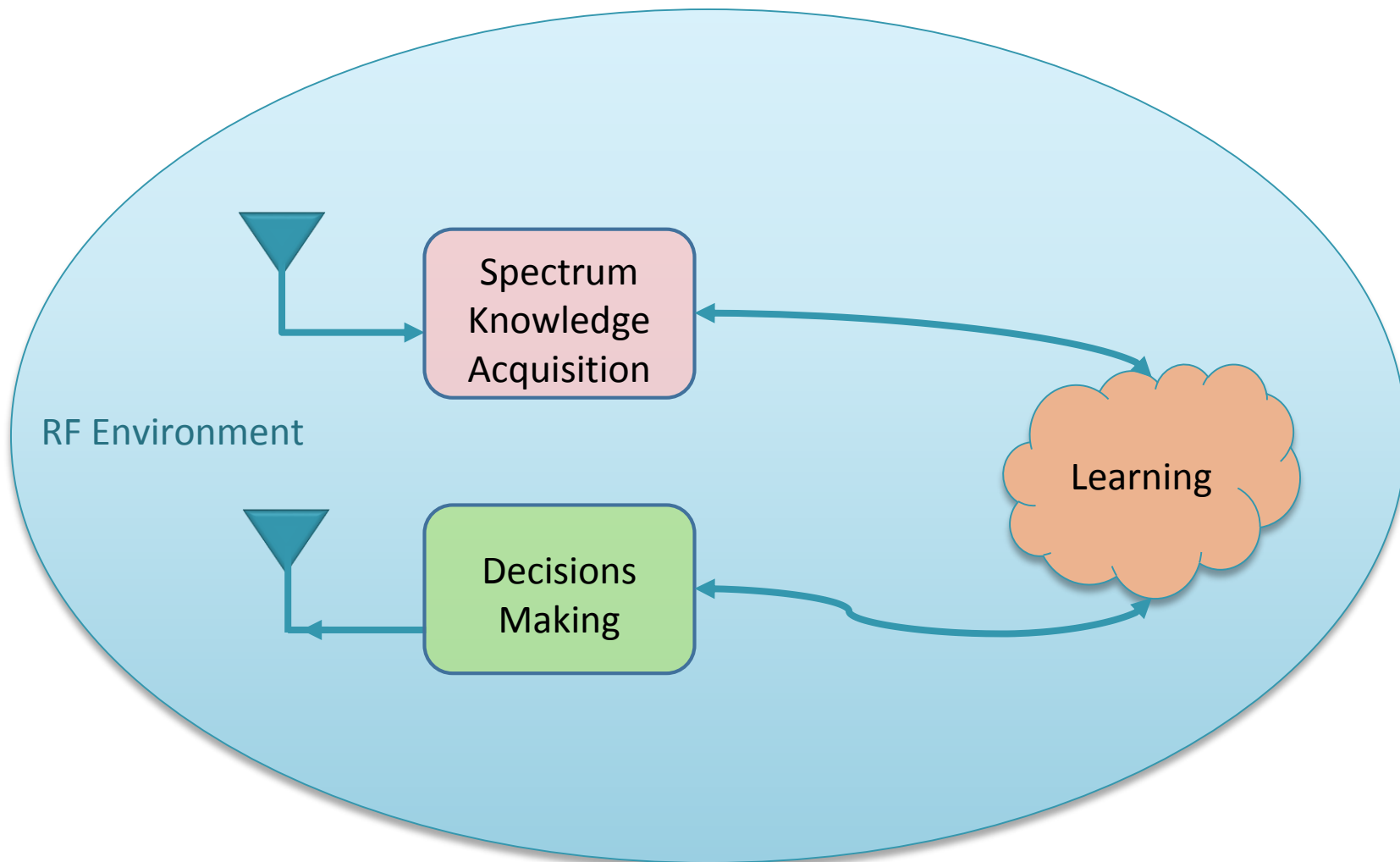
## Military



## Health care

## Smart grid

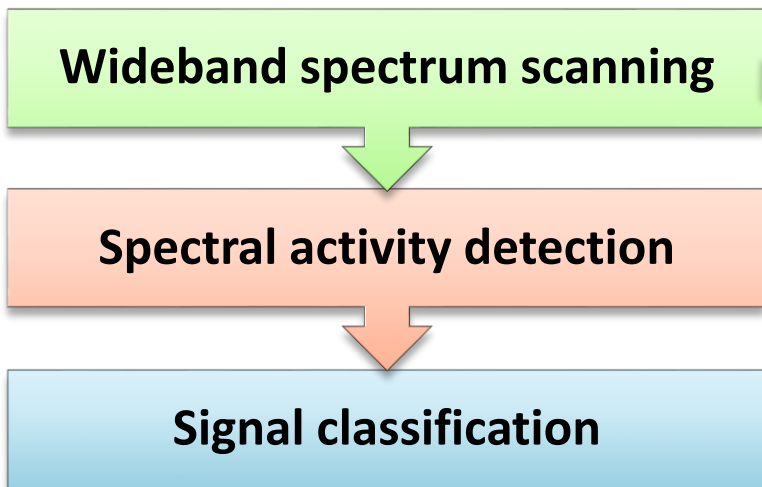
# Basic Cognitive Radio Functions



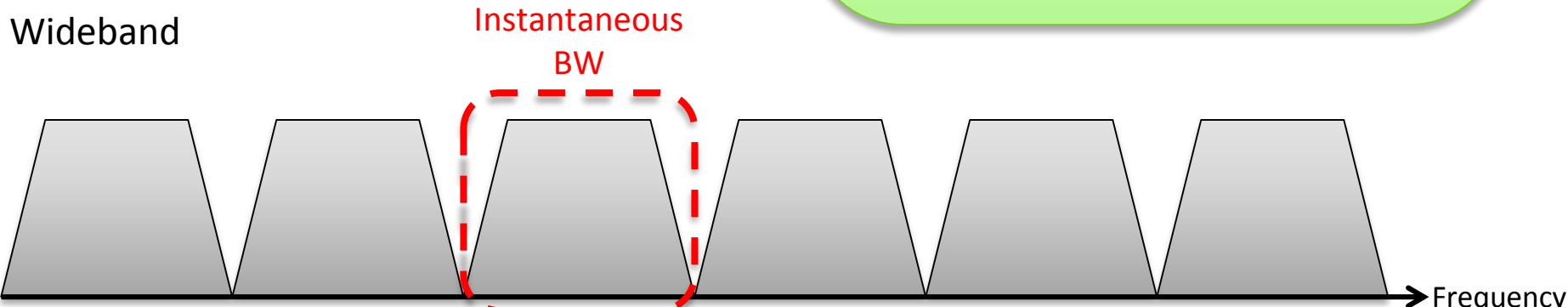
Source: S. K. Jayaweera, "Signal Processing for Cognitive Radio," John Wiley & Sons, Hoboken, NJ, USA.

# Wideband Spectrum Knowledge Acquisition

## Spectrum Knowledge Acquisition

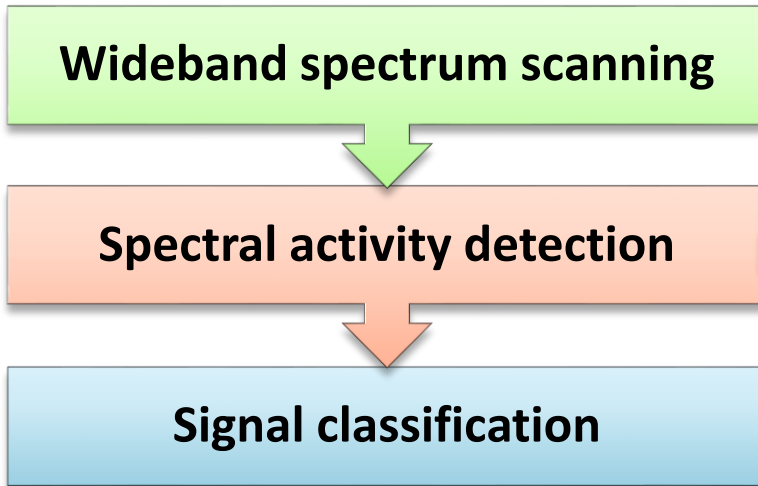


- Hardware constraints limit the instantaneous sensing bandwidth of most state-of-the-art software-defined radio (SDR) platforms to about 100MHz.
- There is a need to design an efficient scheme to achieve real-time sensing over a wide spectrum range.

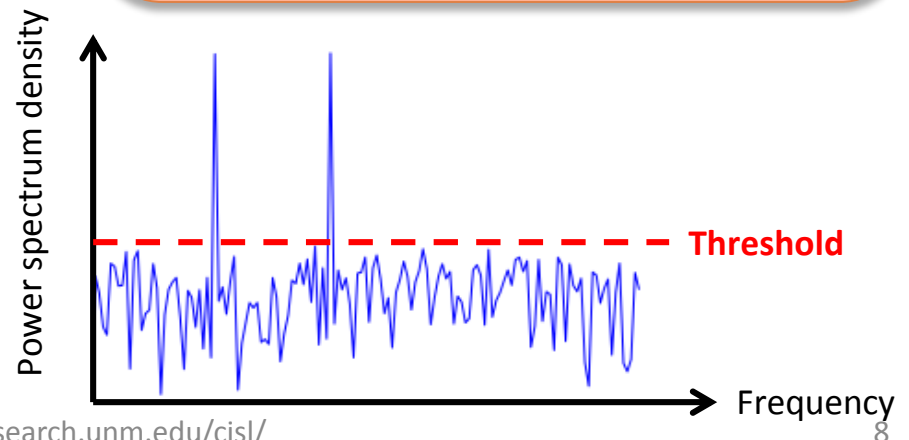


# Wideband Spectrum Knowledge Acquisition

## Spectrum Knowledge Acquisition



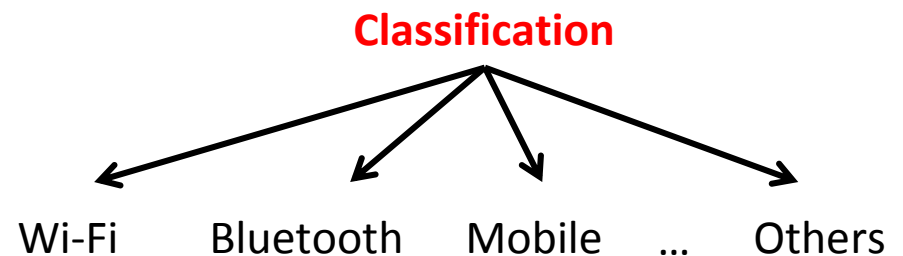
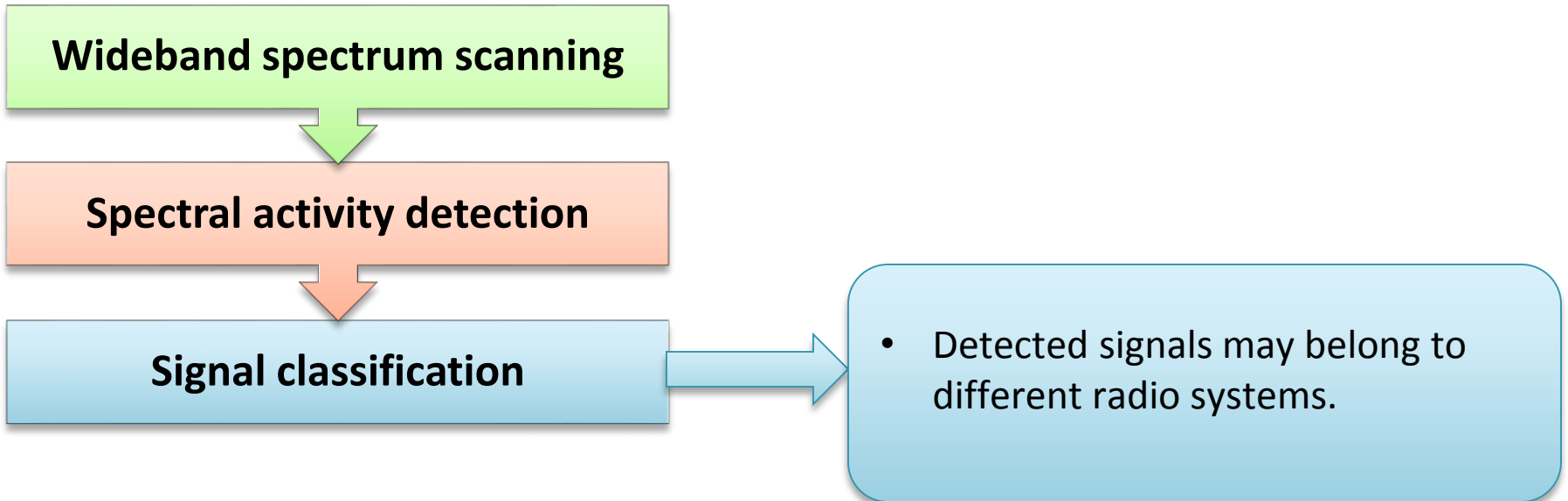
- Detection can be done by defining a threshold (simple).
- Any power spectral activity above this threshold is considered as an active signal.





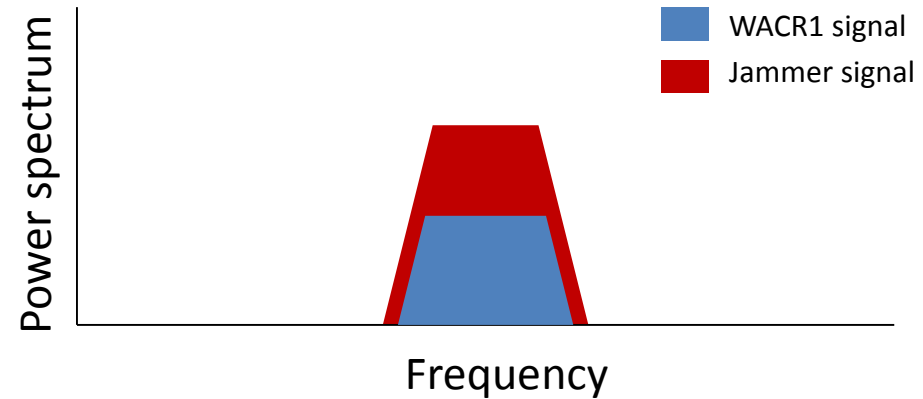
# Wideband Spectrum Knowledge Acquisition

## Spectrum Knowledge Acquisition



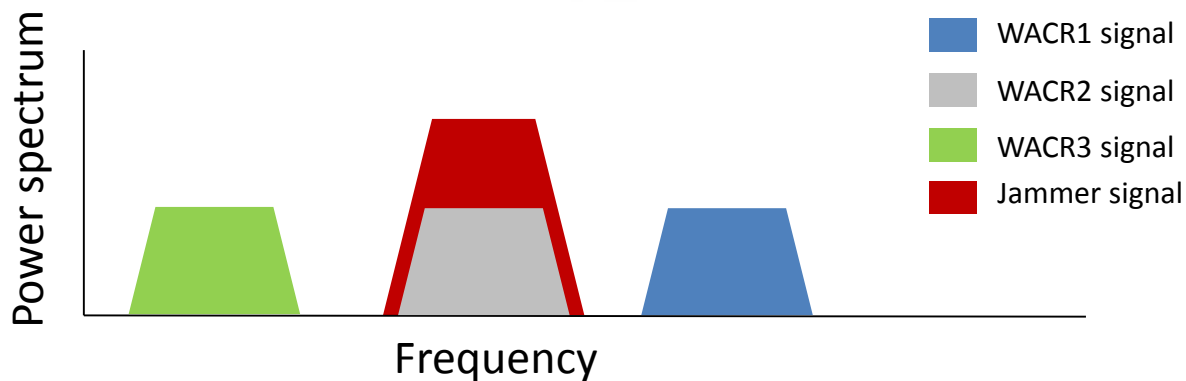
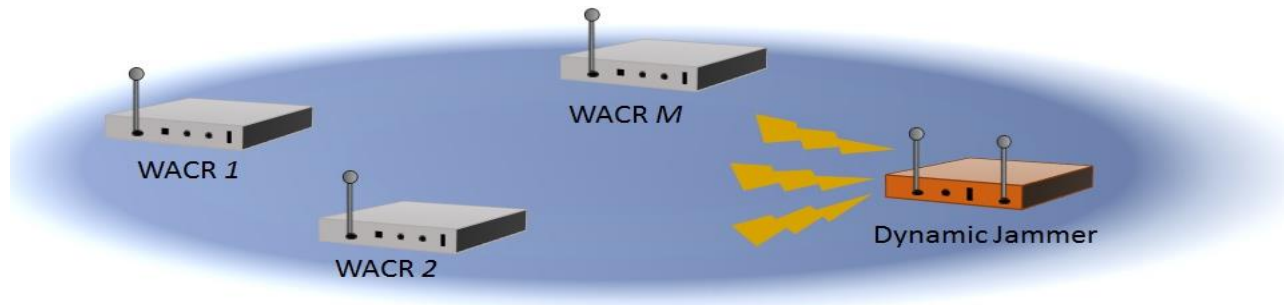
# Problem formulation

- Deliberate radio jammers and unintentional interference can disrupt communication systems.
  - In both commercial and military systems



# Problem formulation

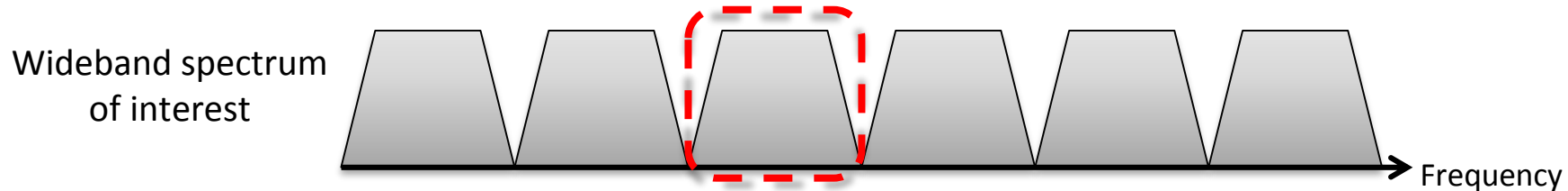
- In practice, this will result in a complicated multi-agent environment.



- Goal:** find optimal anti-jamming and interference avoidance policies for the WACRs that switches transmission **before getting jammed**.

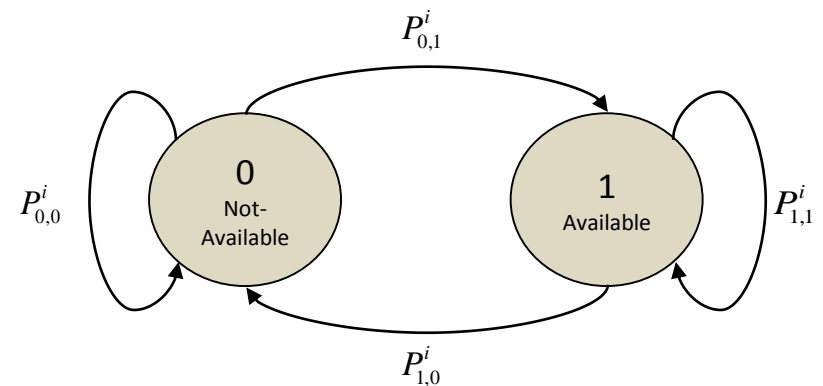
# System model

- Spectrum is divided into  $N_b$  sub-bands. **Sub-band**

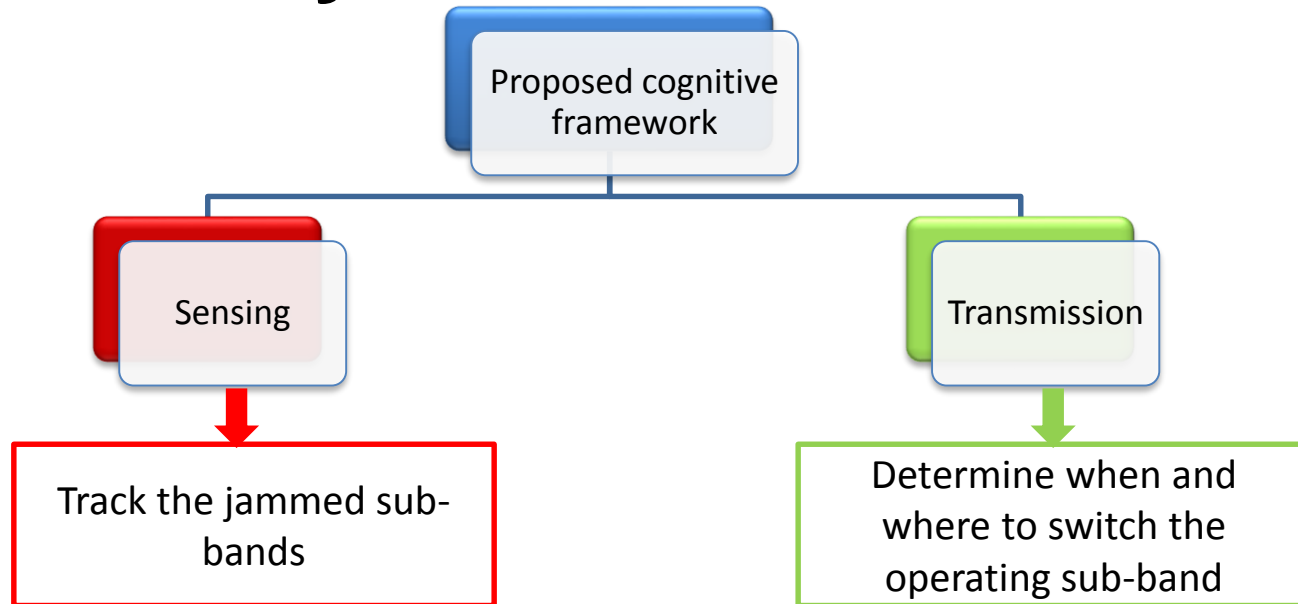


## Sub-band dynamics:

- Single sub-band has 2 Markov states: available/not-available.
  - If the sub-band is jammed or faces interference, it is considered to be in state "0" (not-available).
  - Otherwise, it is considered to be in state "1" (available).
- The set of sub-band states can be denoted by  $\mathcal{V} = \{0, 1\}$ .



# System model



- Each operation will have its own learning algorithm with different targets, but they both will experience the same RF environment.
- Essentially, if the sensing operation were to learn an optimal policy, the WACR would be able to accurately predict the jammed/interfered sub-bands.
- This will help the transmission operation as follows:
  - if the current operating sub-band is predicted to be jammed during the next time instant by the sensing policy, the WACR will switch to another sub-band thereby avoiding the possibility of getting jammed.

# System model

- For the game state, we choose a simple definition for both sensing and transmission operations, where  $s_s[n] \in \mathcal{S}$  and  $s_t[n] \in \mathcal{S}$  represent the index of selected sub-bands for sensing and transmission, respectively, at time  $n$ . Thus, the state space is given by  $\mathcal{S} = \{1, \dots, N_b\}$ .
- At any time instant, the state of operating sub-bands for both sensing and transmission (the value of  $v \in \mathcal{V}$  for sub-band index  $s \in \mathcal{S}$ ) has to be identified.
  - During sensing operation: the WARC will perform *spectral activity detection* (spectrum sensing) to detect any active signals in the sensed sub-band and hence identify whether the sub-band is available or not.
  - During transmission operation: the communications link quality will determine if transmission over the current operating sub-band is acceptable.
- After determining the states of both operating sub-bands, the WACR will select and execute actions for both operations.
  - We define actions  $a_s[n]$  and  $a_t[n]$  as the indices of the selected new operating sub-bands for sensing and transmission, respectively, at time  $n$ .
- The action space can thus be defined as  $\mathcal{A} = \{1, \dots, N_b\}$ .

# Q-learning-aided Cognitive Anti-jamming

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**Algorithm 1** Q-learning-aided cognitive anti-jamming communications algorithm

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1: **Initialize:**

$$\alpha, \gamma, \epsilon \in [0, 1]$$

$$Q(s, a) \leftarrow 0 \quad \forall s \in \mathcal{S}, \forall a \in \mathcal{A}$$

2: **for** each stage  $n$  **do**

3: Identify the state ( $v \in \mathcal{V}$ ) of operating sub-band  $s$

4: **if** sub-band state  $v = 0$  **then**

5: Compute reward  $r$  for current state  $s$  and action  $a$

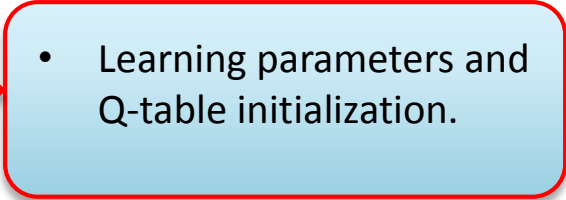
6: Update  $Q$ -value  $Q(s, a)$  as follow:

$$7: \quad Q(s, a) \leftarrow (1 - \alpha)Q(s, a) + \alpha[r + \gamma \max_a Q(s', a)]$$

8: Select new action  $a' \in \mathcal{A}$  for the new state  $s'$  according to the following:

$$9: \quad a' = \begin{cases} \arg \max_{a \in \mathcal{A}} Q(s', a) & \text{with probability } 1 - \epsilon, \\ \sim U(\mathcal{A}) & \text{with probability } \epsilon, \end{cases}$$


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- Learning parameters and Q-table initialization.

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- 
- Identify the state of the current operating sub-band.
  - If the sub-band state is "1" (available), no further action is required.



# Q-learning-aided Cognitive Anti-jamming Algorithm

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- 
- If the sub-band state is "0" (not-available), the WACR updates the Q-table based on a certain observed reward ( $r$ ).

# Q-learning-aided Cognitive Anti-jamming Algorithm

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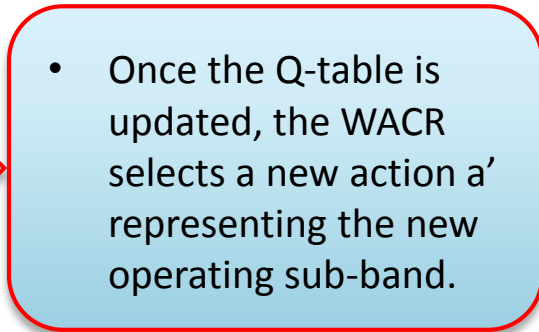
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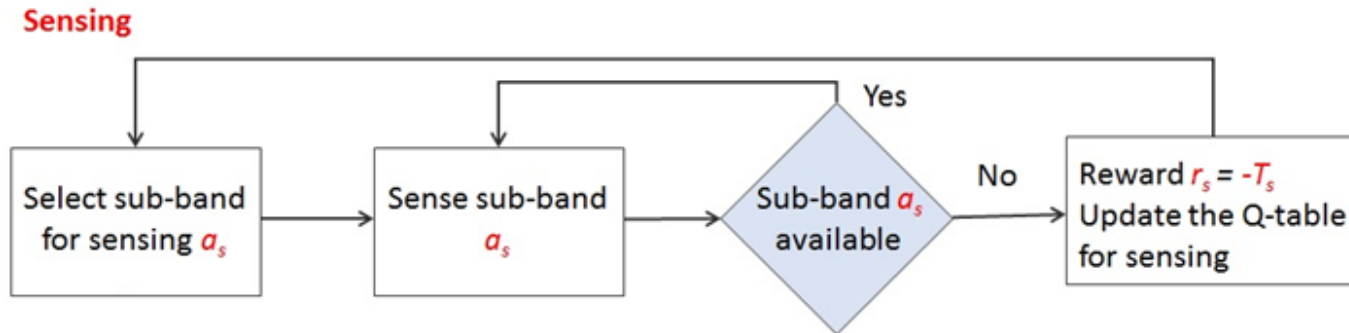
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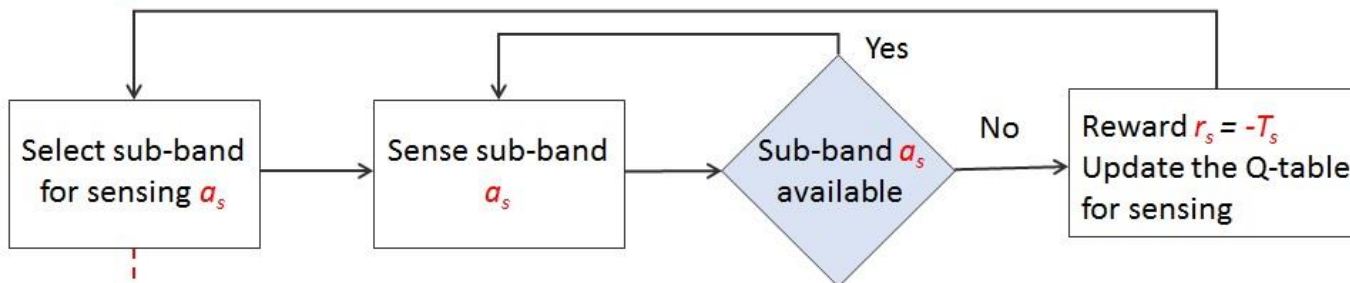
- 
- Once the Q-table is updated, the WACR selects a new action  $a'$  representing the new operating sub-band.

# Proposed Anti-jamming Stochastic Game

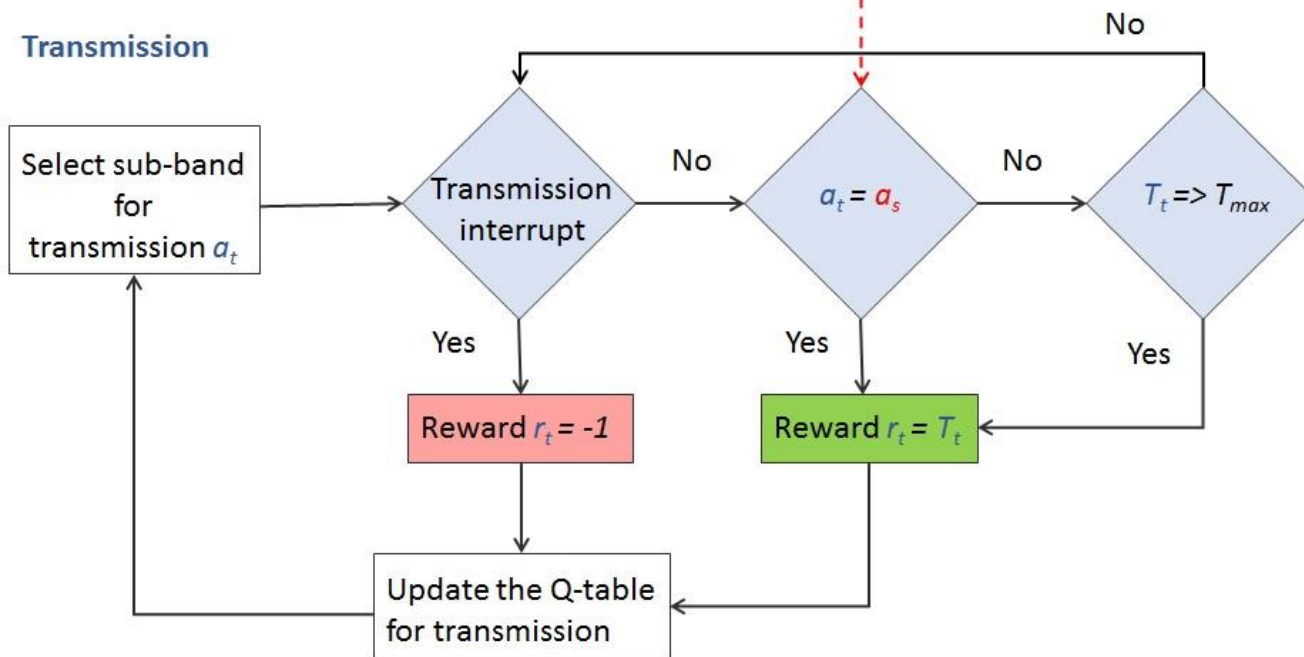


# Proposed Anti-jamming Stochastic Game

## Sensing



## Transmission



# Simulation results

## Performance metric:

Normalized accumulated reward 
$$R_N = \frac{1}{N} \sum_{n=1}^N r_t(s_t[n], a_t[n])$$

$r_t(s_t[n], a_t[n])$ : immediate non-negative reward for transmission operation at time  $n$

$N$ : number of iterations

## Jammer model:

Sweeps the spectrum of interest from the lower to the higher frequency.

## Learning parameters:

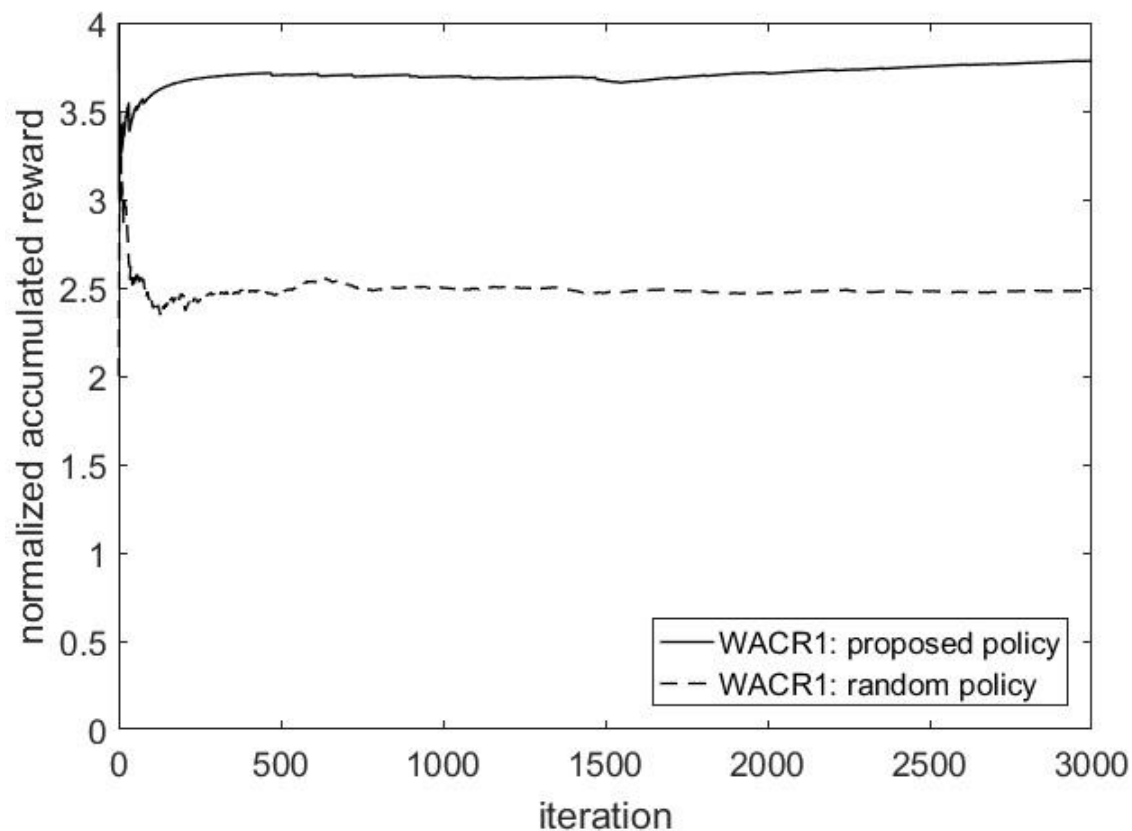
$\gamma=0.8$

$\epsilon=0.9, \alpha=0.4$   $\longrightarrow$  Before Q-table convergence

$\epsilon=0.01, \alpha=0.1$   $\longrightarrow$  After Q-table convergence

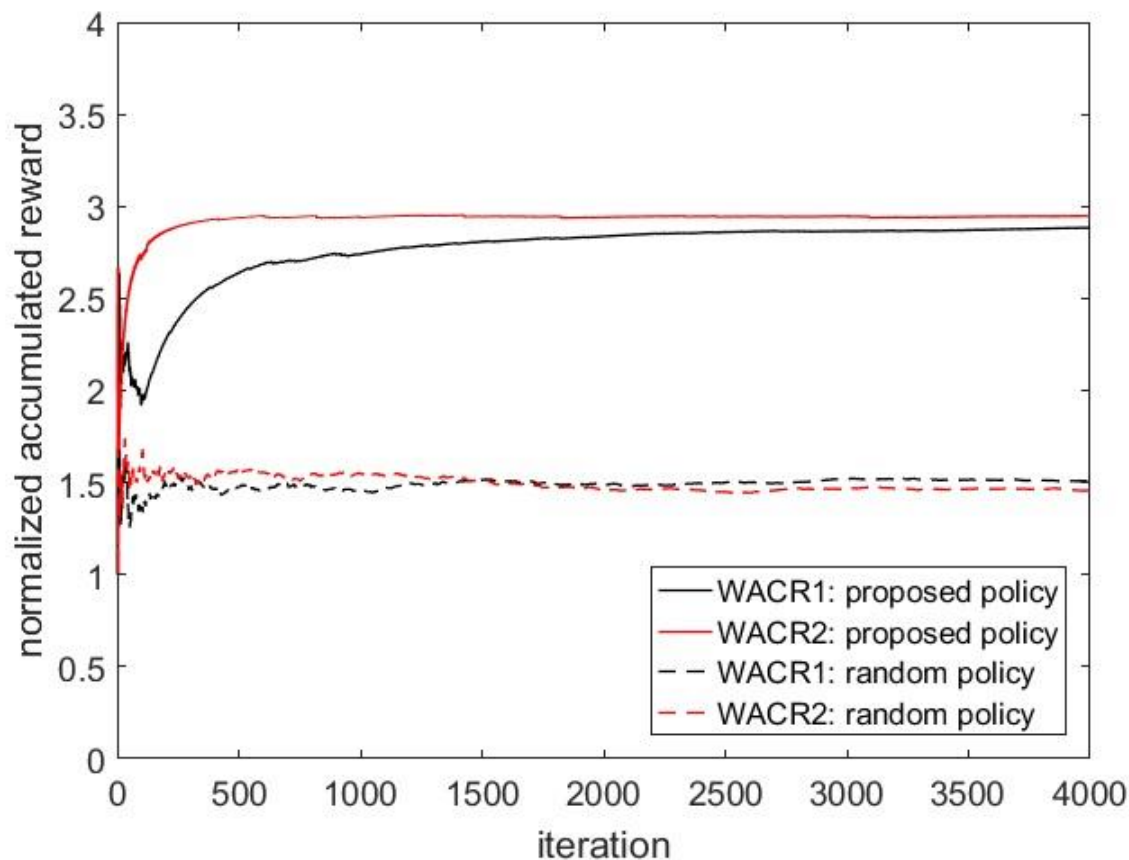
# Simulation results

## Experiment 1: 1 WACR and 5 Sub-bands



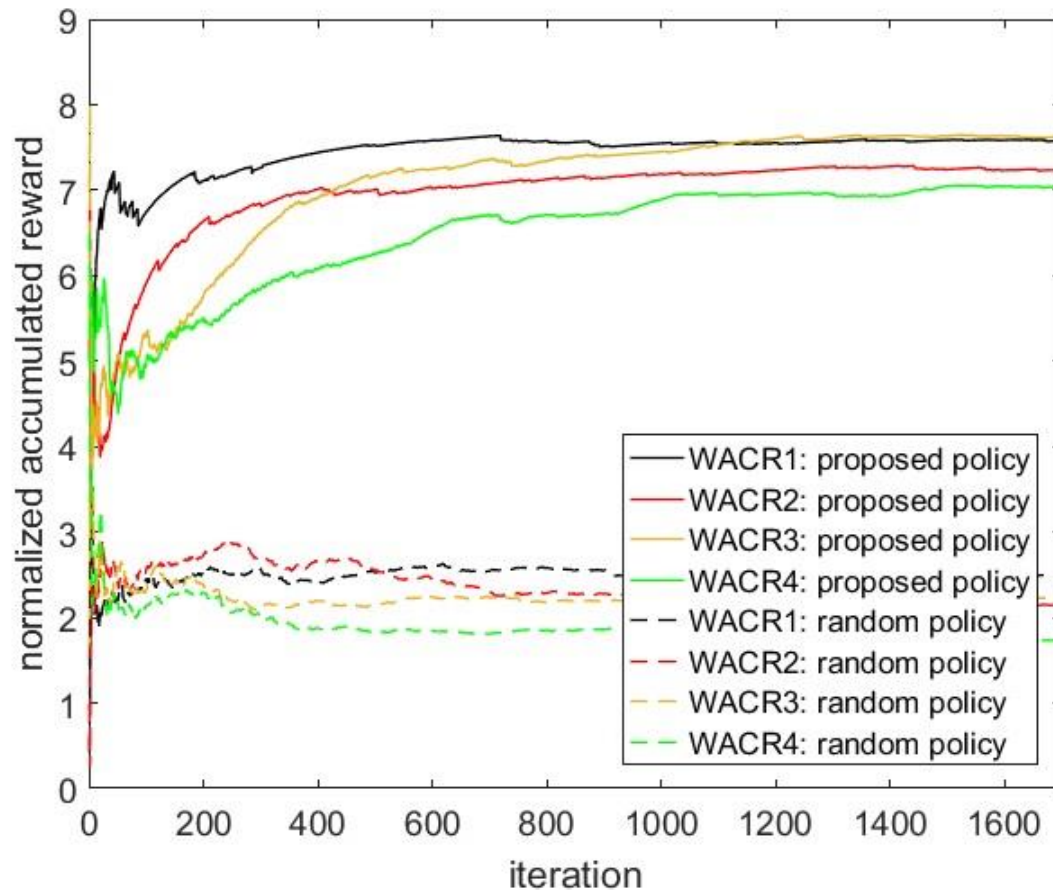
# Simulation results

## Experiment 2: 2 WACRs and 6 Sub-bands



# Simulation results

## Experiment 3: 4 WACRs and 16 Sub-bands





# Simulation results

Table I  
NORMALIZED ACCUMULATED REWARD VALUES FOR DIFFERENT SIMULATION SCENARIOS

Test case	Scenario	Reward upper bound	WACR 1	WACR 2	WACR 3	WACR 4	Average
1	1 WACR and 5 sub-bands	4	Proposed:3.8 Random: 2.5				Proposed:3.8 Random: 2.5
2	2 WACRs and 6 sub-bands	4	Proposed:2.8 Random: 1.5	Proposed:3 Random: 1.4			Proposed:2.9 Random: 1.45
3	4 WACR and 16 sub-bands	12	Proposed:7.5 Random: 2.5	Proposed:7.2 Random: 2.2	Proposed:7.5 Random: 2.2	Proposed:7 Random: 1.8	Proposed:7.3 Random: 2.17

# Simulation results

Table II  
PROBABILITIES OF GETTING JAMMED FOR DIFFERENT SIMULATION SCENARIOS

Test case	Scenario	WACR 1		WACR 2		WACR 3		WACR 4		Average	
1	1 WACR and 5 sub-bands	Proposed:	0.86%							Proposed:	0.86%
		Random:	1.8%							Random:	1.8%
2	2 WACRs and 6 sub-bands	Proposed:	2.6%	Proposed:	2.1%					Proposed:	2.35%
		Random:	47.2%	Random:	48%					Random:	47.6%
3	4 WACR and 16 sub-bands	Proposed:	6.4%	Proposed:	7.6%	Proposed:	12.4%	Proposed:	12.3%	Proposed:	9.6%
		Random:	64.8%	Random:	66.3%	Random:	66.3%	Random:	72.6%	Random:	67.5%

# Conclusions

- Proposed a novel cognitive anti-jamming stochastic game based on  $Q$ -learning for WACRs to avoid a dynamic jammer signal as well as unintentional interference from other WACRs .
- Developed new definitions for state, actions and rewards that enable the WACR to switch its operating sub-band before getting jammed, compared to previously proposed anti-jamming techniques in literature that switch the operating sub-band only after getting jammed.
- The cognitive framework is divided into two operations:
  - sensing and transmission.
  - Each is helped by its own learning algorithm based on  $Q$ -learning.
- The objective of the sensing operation is to track the jammed sub-bands. On the other hand, the transmission operation determines when and where to switch the operating sub-band.
  - The key difference from the previous work is that the radio will switch the sub-band before getting jammed.
  - This can be especially useful against a smart jammer since it will prevent the jammer from learning the radio's behavior.
- Simulation results showed that the proposed cognitive protocol has a very low probability of getting jammed and acceptable value for accumulated reward.

# Questions



# References

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